

Docket Number: 070120-0305185  
Client Reference: FEL0312-US-A

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of

TADAHIRO ISHIZAKA, et al. Group Art Unit:

Application No.: Examiner:

Filed: August 28, 2003 Confirmation No.:

For: SUBSTRATE TREATMENT DEVICE, SUBSTRATE TREATMENT METHOD,  
AND CLEANING METHOD FOR SUBSTRATE TREATMENT DEVICE

INFORMATION DISCLOSURE STATEMENT

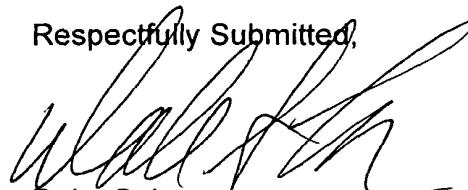
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

English-language Abstracts of the non-English language references are attached hereto.

Respectfully Submitted,



Dale S. Lazar  
Registration Number 28872

Date: August 28, 2003  
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**Substitute for form 1449A/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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**Complete if Known**

Application Number	
Filing Date	08/28/2003
First Named Inventor	TADAHIRO ISHIZAKA
Art Unit	
Examiner Name	
Attorney Docket Number	070120-0305185

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U. S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	A	EP	0 811 413	A2	12-10-1997	NOJI et al.	
	B	JP	2002-239341	08-27-2002	MORI		X
	C	JP	2001-214272	08-07-2001	HASEGAWA et al.		X
	D	JP	2001-342950	12-14-2001	NOMURA		X
	E	JP	2001-131748	05-15-2001	MURAKAMI		X

*\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.<sup>1</sup> Applicant's unique citation designation number (optional).<sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.*

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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		First Named Inventor	TADAHIRO ISHIZAKA
		Art Unit	
		Examiner Name	
(Use as many sheets as necessary)		Attorney Docket Number	070120-0305185
Sheet	1	of	1

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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**Application Number**

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### First Name

08/28/2003

**NOT NAMED INVENTOR**

TADAHIRO ISHIZAKA

## Art Unit

Examiner Name

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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (If known)				
	F	JP 2001-126999	05-11-2001	YAMAGA		X
	G	JP 2000-256856	09-19-2000	YAMAZAKI et al.		X
	H	JP 2000-174007	06-23-2000	SAITO et al.		X
	I	JP 11-182425	07-06-1999	NOMURA et al.		X
	J	JP 10-266958	10-06-1998	SUGIURA et al.		X

Examiner Signature		Date Considered	
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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	K	JP 10-140357	05-26-1998	HAYASHI et al.		X
	L	JP 10-054356	02-24-1998	NOMICHI et al.		X
	M	JP 09-317645	12-09-1997	NOMICHI et al.		X
	N	JP 10-073078	03-17-1998	NOMICHI et al.		X
	O	JP 10-176662	06-30-1998	NOMICHI et al.		X

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<p>First Named Inventor</p>		TADAHIRO ISHIZAKA				
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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (If known)				
	P	JP 01-218013	08-31-1989	FUSE et al.		X
	Q	JP 07-252660	10-03-1995	KUMAGAI et al.		X

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